

10/591 075
IAP9 Rec'd PCT/PTO 30 AUG 2006

"Express Mail" mailing label number EV 316 044 492 US

Date of Deposit: August 30, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Kazuaki Matsumoto

International

Serial No. PCT/JP2005/002850

U.S. Serial No.: Applied for

Examiner: Not Assigned

Intl. Filing Date: February 23, 2005

U.S. Filing Date: Herewith

Art Unit: Not Assigned

Title: METHOD FOR PRODUCTION
THERMOPLASTIC RESIN
COMPOSITION CONTAINING
ULTRAFINE PARTICLES

Attorney Docket No: 5404/168

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

U.S. PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
6,358,611 B1	03/19/2002	Nagasawa et al.

10/591075
IAP9 Rec'd PCT/PTO 30 AUG 2006

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	APPLICANT
JP 9-255879 A	09/30/1997	Japan
JP 10-72552 A	03/17/1998	Japan

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

International Search Report from Corresponding International Application
No. PCT/JP2005/002850, dated May 17, 2005, 4 pages.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

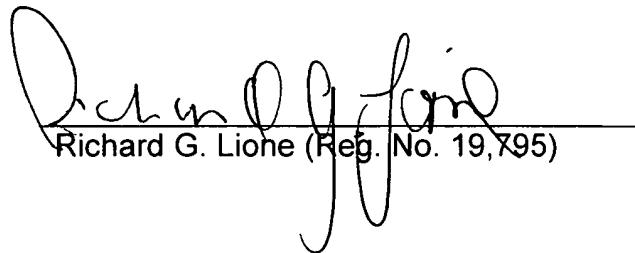
10/591075

IAP9 Rec'd PCT/PTO 30 AUG 2006

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Aug 30, 2006
Date


Richard G. Lione (Reg. No. 19,795)

10/591 075
IAP9 Rec'd PCT/PTO 30 AUG 2006

FORM PTO-1449		INTERNATIONAL APPLICATION NO. PCT/JP2005/002850	CASE NO. 5404/168
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		INTERNATIONAL FILING DATE February 23, 2005	GROUP ART UNIT Not Assigned
		FIRST NAMED INVENTOR: Kazuaki Matsumoto	
		EXAMINER NAME: Not Assigned	

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	Filing Date
A1		6,358,611 B1	03/19/2002	Nagasawa et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number-Kind Code (if known)	Publication Date MM-DD-YYYY	COUNTRY	Class/ Subclass	Translation Yes or No
A2		JP 9-255879 A	09/30/1997	Japan		Abstract
A3		JP 10-72552 A	03/17/1998	Japan		Abstract

EXAMINER INITIAL	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)				T
	A4	International Search Report from Corresponding International Application No. PCT/JP2005/002850, dated May 17, 2005, 4 pages.			

NOTE: For "T" – please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.